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# Integrating Deep Learning and Model-Based Reasoning for Robust Sensor Data Fusion

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5-6 November, 2018

# Motivation

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- Humans are good at sensor and data fusion
  - Low level perception from sight and sound
  - High level reasoning to understand situation, cause and effect
- Artificial intelligence (AI) attempts to replicate human sensor data fusion capabilities
- Traditional AI approaches rely on domain knowledge
  - Represent and reason with models
  - Models provide explainable results
- Deep learning (deep neural networks) relies only on data
  - Neural networks learned from large amounts of data
  - Excellent performance in some applications but results are hard to explain
- Robust sensor data fusion will require both deep learning and model-based reasoning

# Face Grouping by Google Photos - Adults

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2013



2010



2009



2009



2008



2007

Adult faces are correctly grouped into a "track" over time

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# Face Grouping by Google Photos - Babies

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March 2018



December 2014

- Faces of two babies are incorrectly grouped
- Incorrect grouping can be detected easily from
  - Metadata (dates when photos are taken)
  - Knowledge/model that babies grow fast and appearance change quickly

# Outline

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- Model based AI for sensor and data fusion
- Deep learning as function-based AI
- Approach to integrate deep learning and model-based reasoning for fusion

# Advances in Computing/Communication and Sensor/Data Fusion - Perspectives from A Silicon Valley Researcher

## 1980

Vax 11/750 Minicomputer  
3 Mhz, 120K FLOPS, 2 MB RAM  
1200 baud dialup modem

- Government/non-profit
  - SRII ← Garvey (AI)
  - NASA Ames
- Large aerospace/defense
  - Lockheed ← Reid (MHT)
  - TRW Ford Aerospace
  - Loral GTE
- Small R&D companies
  - Systems Control ← Bar-Shalom
  - Advanced Information & Decision Systems (AI&DS) ← Chong

## 2018

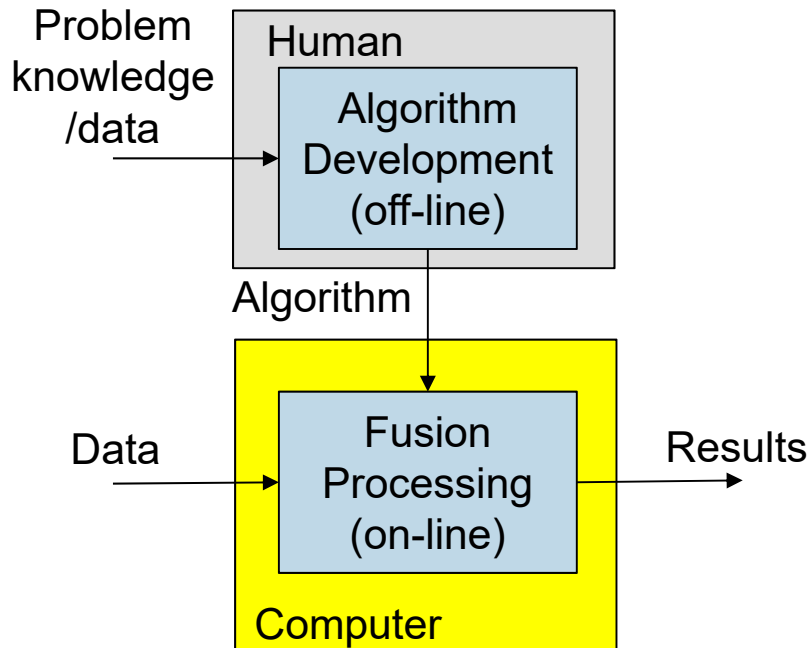
iPhone XS  
2.49 Ghz, 409 GFLOPS, 4 GB RAM  
1 Gb network

- Government/non-profit
  - SRII
  - NASA Ames
- Large aerospace/defense
  - Lockheed Martin
  - Loral
- Computing
  - Apple
  - Intel (Mobileye)
  - Nvidia
- Automotive
  - Tesla
  - BMW
  - Mercedes
  - Audi
- Internet/software
  - Google
  - Facebook
  - Microsoft
  - Amazon
  - Netflix

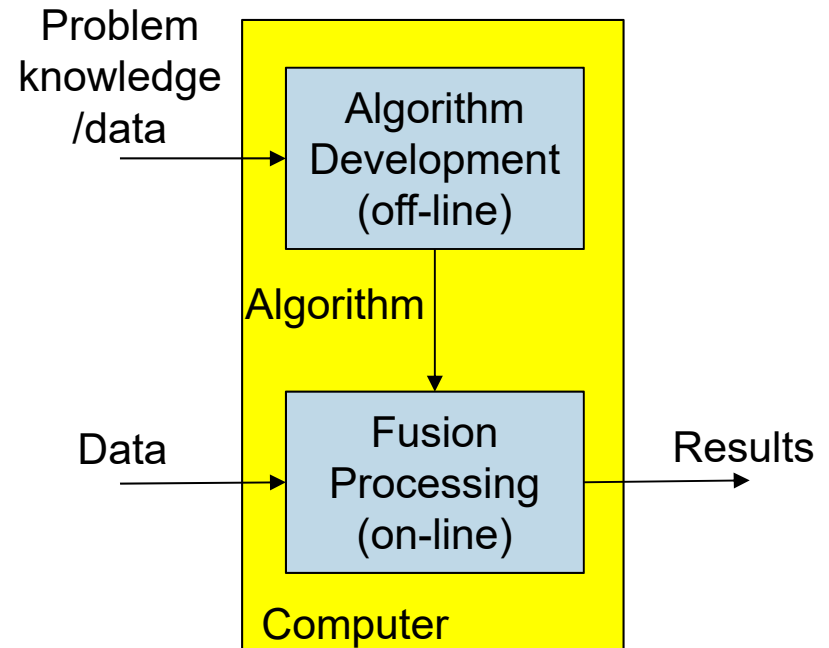
- Revolutionary advances in computing and communication
- More commercial applications in sensor and data fusion, easy and difficult
- Government no longer drives technology development

# AI Exploits Computing Power to Develop Algorithms

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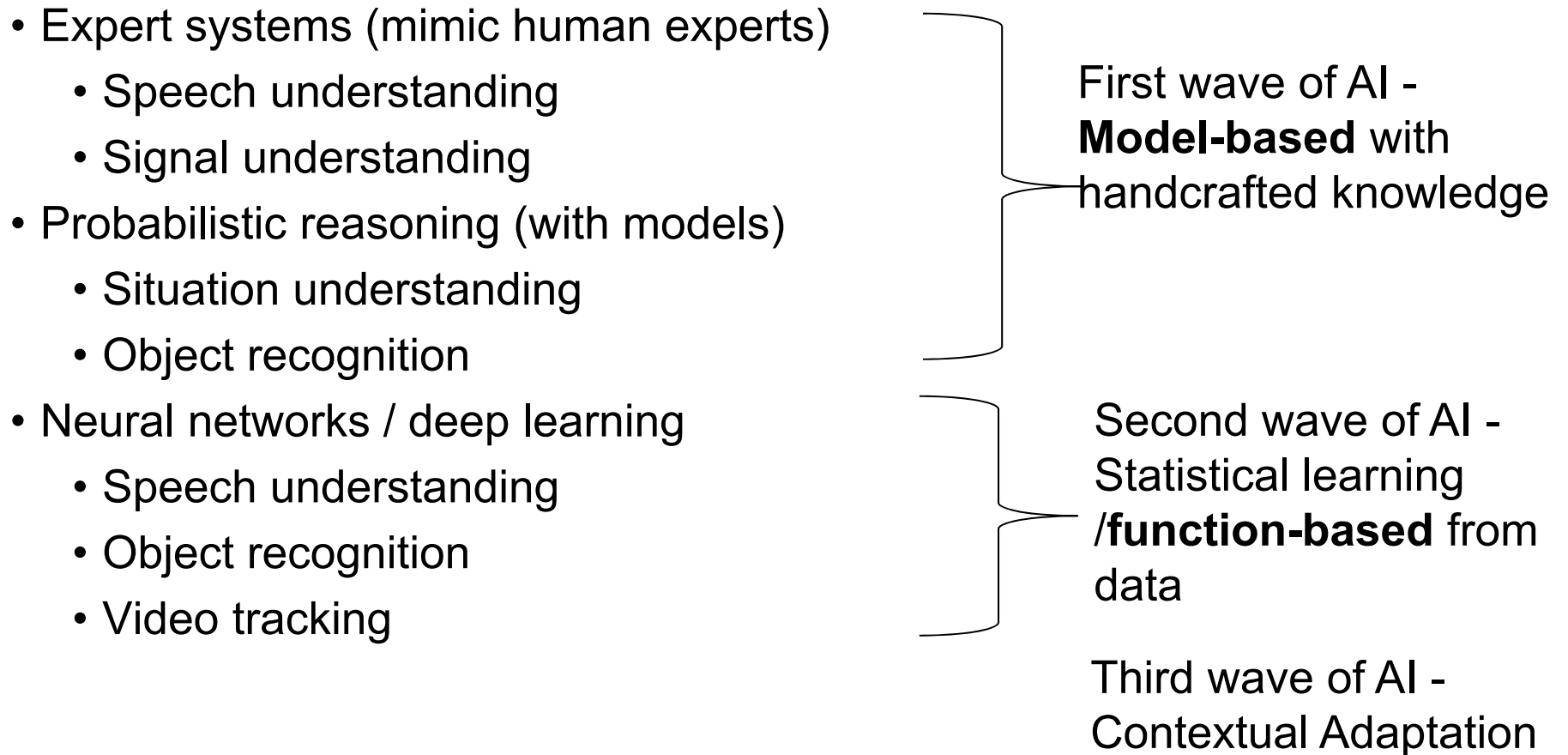
Traditional approach relies on humans to develop algorithm



AI automates algorithm development

# AI Approaches for Sensor Data Fusion Are Evolving

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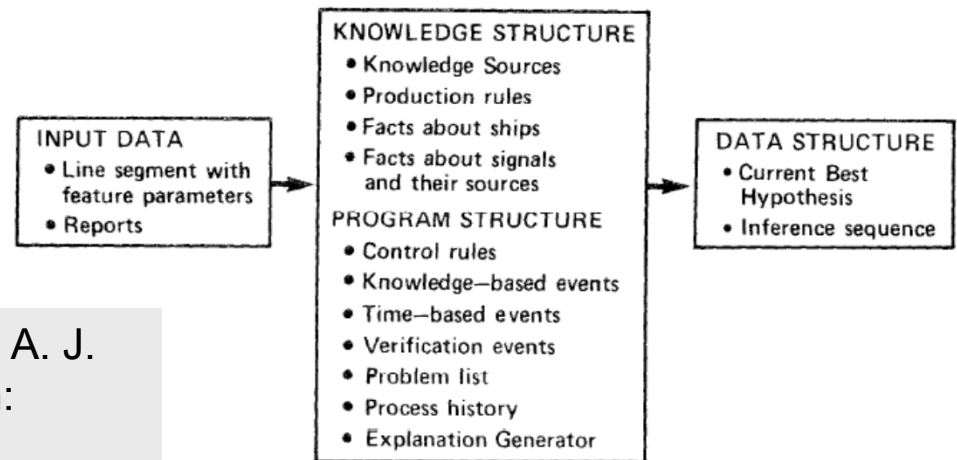
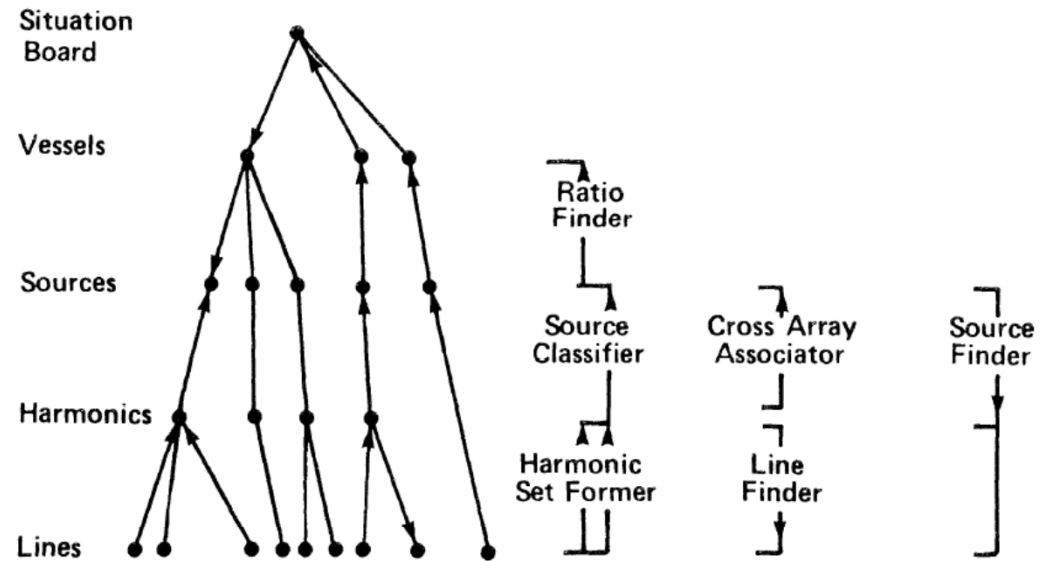
J. Launchbury, "A DARPA perspective on artificial intelligence," 2017  
<http://www.darpa.mil/attachments/AIFull.pdf>

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# Expert Systems for Sensor Fusion – HASP/SIAP (1970's)

- Signal understanding system
  - Inputs: acoustic signals from hydrophones
  - Outputs: detection, location and type of vessel
- Rule-based system
  - Hierarchy of rules for signal to symbol transformation
  - Inference by knowledge sources responsible for different levels of processing

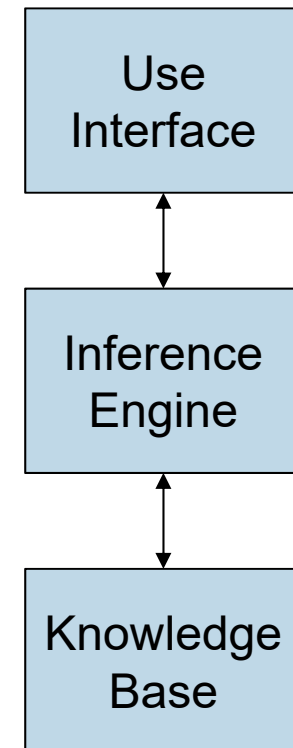


H. P. Nii, E. A. Feigenbaum, J. J. Anton, and A. J. Rockmore, "Signal-to-symbol transformation: HASP/SIAP case study," *AI Magazine*, 1982

# Issues with Expert Systems for Sensor Data Fusion

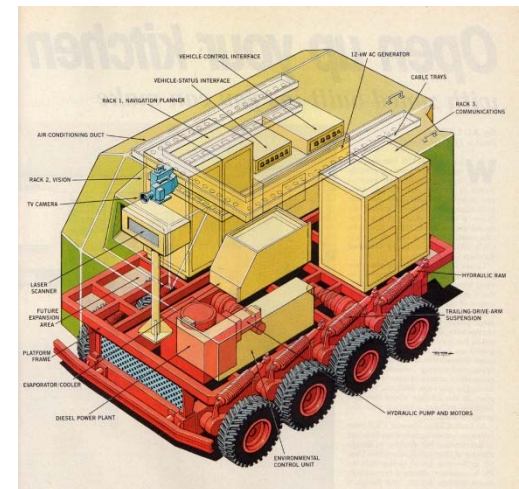
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- Knowledge acquisition
  - Finding experts that can articulate their reasoning; experts with good intuition are not suitable
  - Extracting knowledge from experts
- Knowledge representation
  - Consistency and completeness of rules
  - Representation of uncertainty
- Inference engine
  - Control of inference
  - Reasoning with uncertainty
  - Processing speed
- Expert systems (*Scruffies*) have more success in other commercial applications than in sensor data fusion



# AI in 1980's

- 1981 – Japan started “Fifth Generation Computer” project to build intelligent computers
- United States responded with “Strategic Computing Initiative” with AI as main objective, including Autonomous Land Vehicle (ALV)
- ALV followed road in 1985 demo but vision system was very brittle and sensitive to
  - Light and shadow – detect road edge at noon, but not with shadow at dusk
  - Environmental change (like mud left along road by another vehicle)
- Booming AI industry (software, hardware) became a bust with AI winter in late 1980's



J. E. Franklin, C. L. Carmody, K. Kellter, T. S. Levitt, B. L. Buteau, "Expert system technology for the military: selected samples," *IEEE Proceedings*, Oct. 1988.

# Uncertainty Reasoning in AI

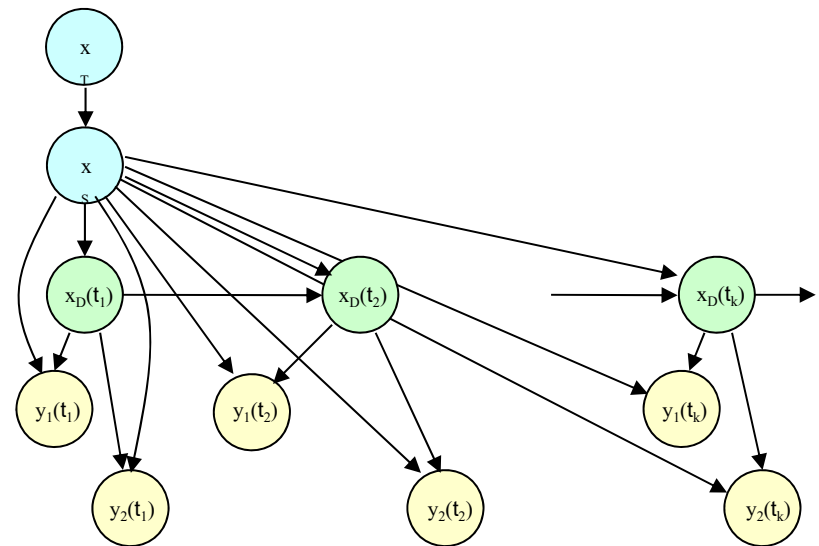
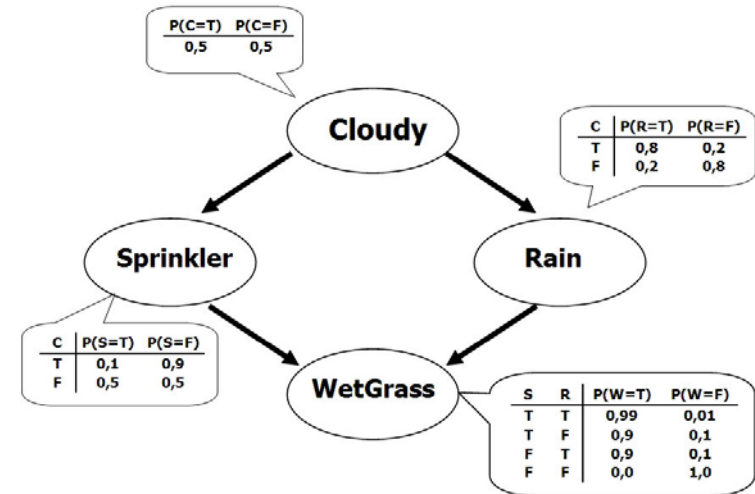
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- Sensor data fusion has to deal with uncertainty in evidence (input) and knowledge
  - Drawback of neural network approach, which was also popular in 1980s
  - Recognized very early by expert system developers
- Competing uncertainty reasoning approaches include
  - Rule-based methods
  - Probabilistic reasoning
  - Evidence theory
  - Fuzzy sets
- Probabilistic graphical models became dominant uncertainty reasoning approach in AI
  - Graphical model
  - Distributed inference

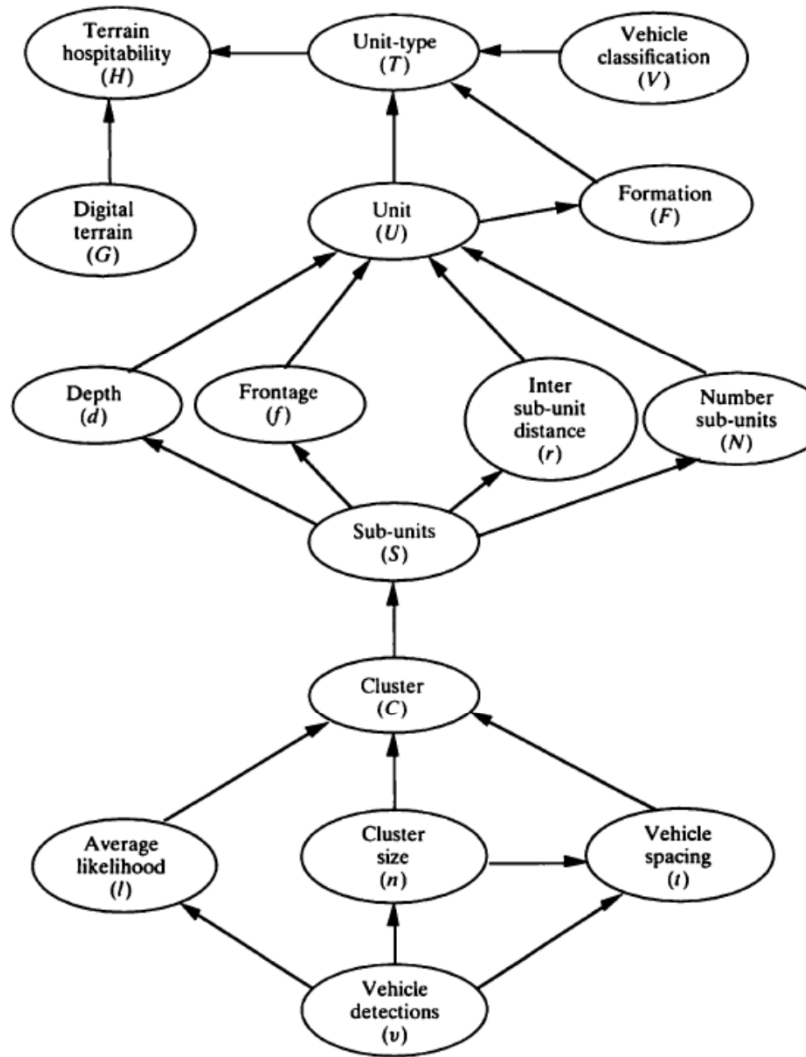
J. Pearl, *Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference*. Morgan Kaufmann, 1988.

# Probabilistic Reasoning/Graphical Models

- Probability model expressed graphically as networks
  - Nodes are random variables
  - Weights on edges represent conditional probabilities
- Inference computes conditional probabilities given evidence
  - Node elimination
  - Junction tree
  - Markov chain Monte Carlo
- Very natural for researchers with background in estimation theory
- Considered AI because of separation into knowledge and automatic inference



# Military Unit Detection from Synthetic Aperture Radar (SAR) Imagery

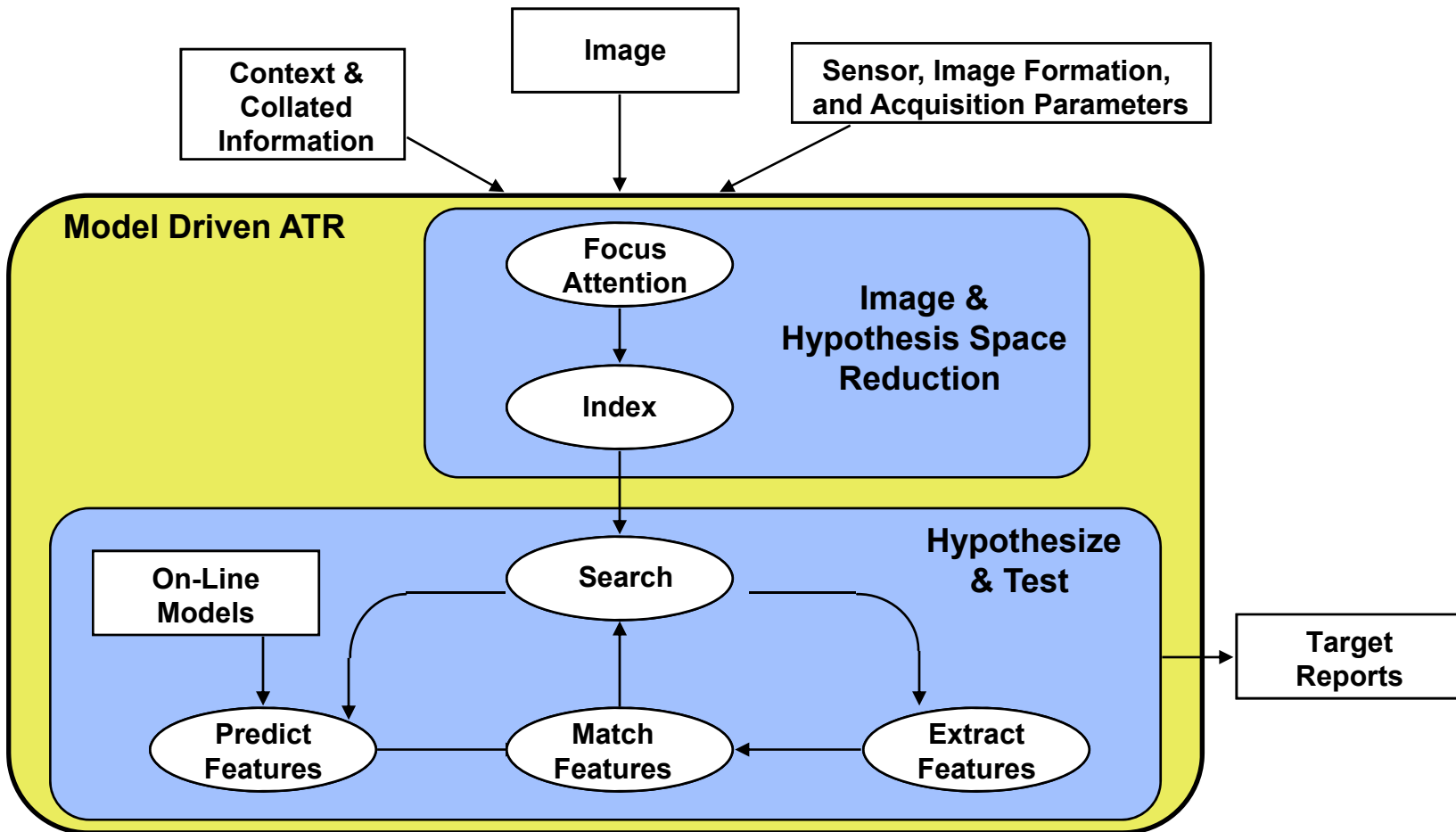


Joint probability of all IES/BTI random variables =  
 $p(T, U, H, G, F, V, d, f, r, N, S, C, l, n, t, v) = \text{product of the terms:}$

	Probability semantics	Processing component
$p(T   U, F, V)$	/force type	Inference
$p(V)$	/vehicle classification	Vehicle classification
$p(H   T, G)$	/terrain hospitability	Terrain hospitability
$p(G)$	/digital terrain data	Terrain database constructor
$p(F   U)$	/formation	Formation matching
$p(U   d, f, r, N)$	/unit existence, location	Inference
$p(d   S)$	/formation depth	Formation matching
$p(f   S)$	/formation frontage	Formation matching
$p(r   S)$	/sub-unit spacing	Formation matching
$p(N   S)$	/number sub-units	Formation matching
$p(S   C)$	/sub-units' existence, location	Inference
$p(C   l, t, n)$	/cluster existence	Detection clustering
$p(l   v)$	/mean likelihood	Detection clustering
$p(t   v, n)$	/detection spacing	Detection clustering
$p(n   v)$	/number detections	Detection clustering
$p(v)$	/vehicle existence	Vehicle detection

T. S. Levitt, C. L. Winter, C. J. Turner, R. A. Chestek, G. J. Ettinger, and S.M. Sayre, "Bayesian inference-based fusion of radar imagery, military forces and tactical terrain models in the image exploitation system/balanced technology initiative," *Int. J. Human Computer Studies*, 1995

# Model-Based Object Recognition



J. Diemunsch and J. Wissinger, "Moving and stationary target acquisition and recognition (MSTAR) model-based automatic target recognition: search technology for a robust ATR", *SPIE* 1998.

# AI for Sensor Data Fusion from 1990's to 2010

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- Model-based reasoning by probabilistic graphical models becomes popular
  - Rigorous representation of uncertainty and inference from evidence
  - Model-based approach is explainable
  - Models can be learned from data
  - Can be extended to handle logical relationships
- However, successful implementation requires good models
  - Manageable for problems such as force structure analysis, intent assessment
  - Difficult for object recognition and speech recognition
- Meanwhile, neural networks were used for many low level functions where modeling is difficult and training is easy
- Then computers become more powerful and massive amounts of data are available



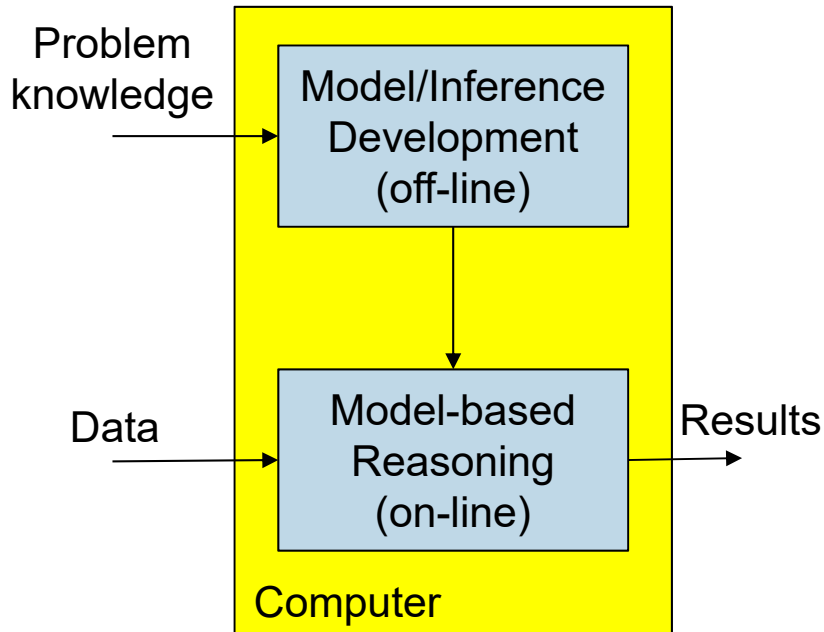
# AI/Machine Learning is Popular and Deep Learning is Main Approach

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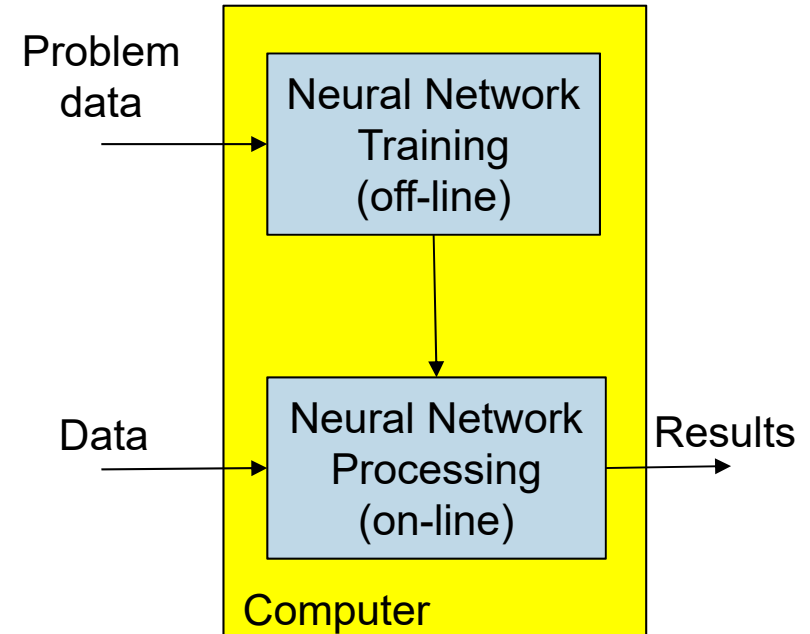
- AI has recent spectacular successes defeating humans
  - Deep Blue beat world champion in chess (1997)
  - Watson won US Jeopardy quiz show (2011)
  - AlphaGo beat world's top player (Ke Jie) in go (2017)
- Deep learning (deep neural networks) has been successfully used in
  - Speech recognition
  - Computer vision
  - Cyber security (where modeling is very difficult and data is plentiful)
- Entry cost to using deep learning is very low
  - Does not require deep domain knowledge
  - Open source software, e.g., Caffe, TensorFlow, Theano
  - Public domain data, e.g., ImageNet, Open Images Dataset
  - Inexpensive powerful hardware, e.g., Nvidia, Intel, Google

# Shift from Model-Based to Learning (Function)-Based AI

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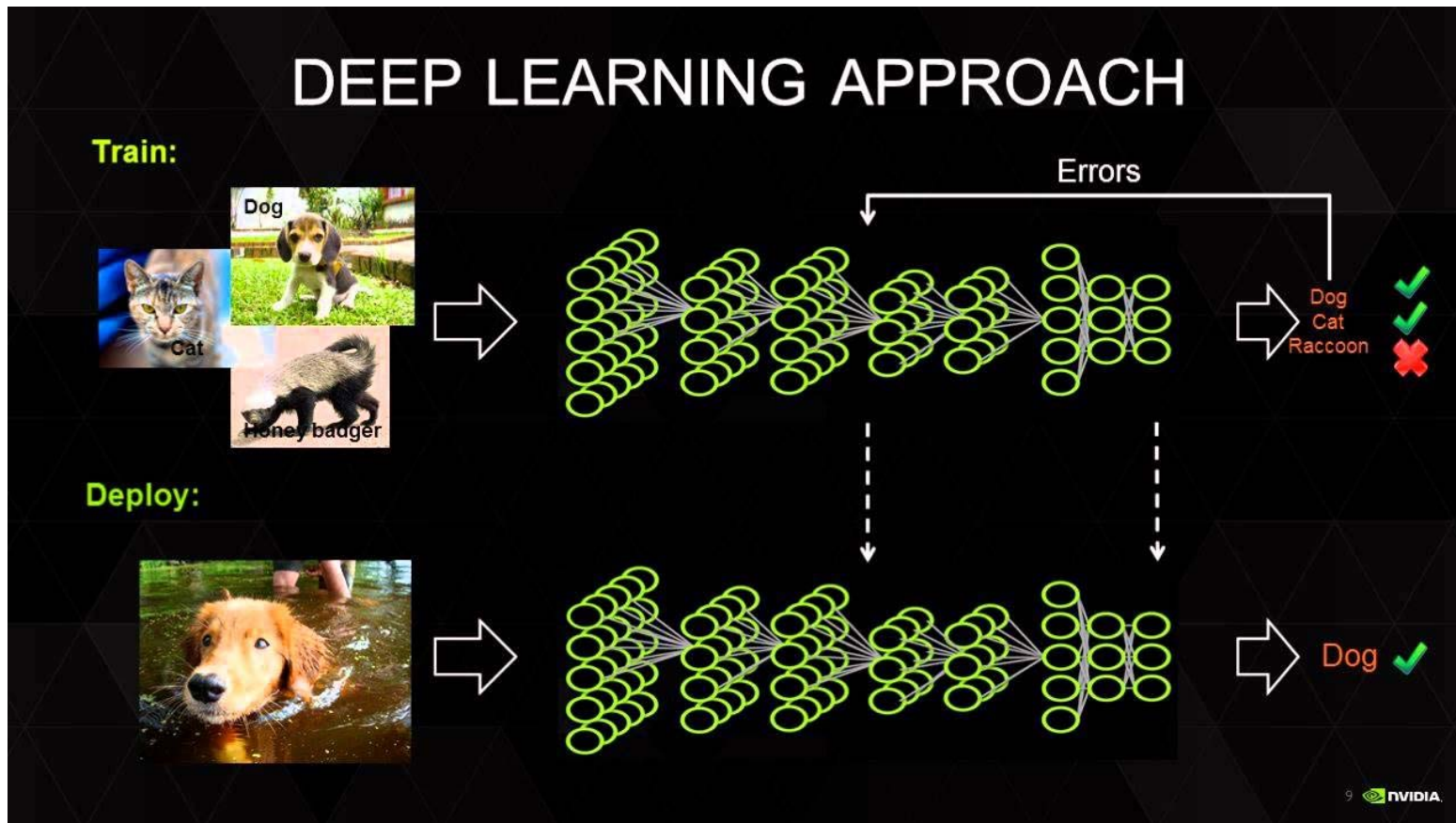


- Explicit problem knowledge
- Represent and reason
- Transparent fusion processing



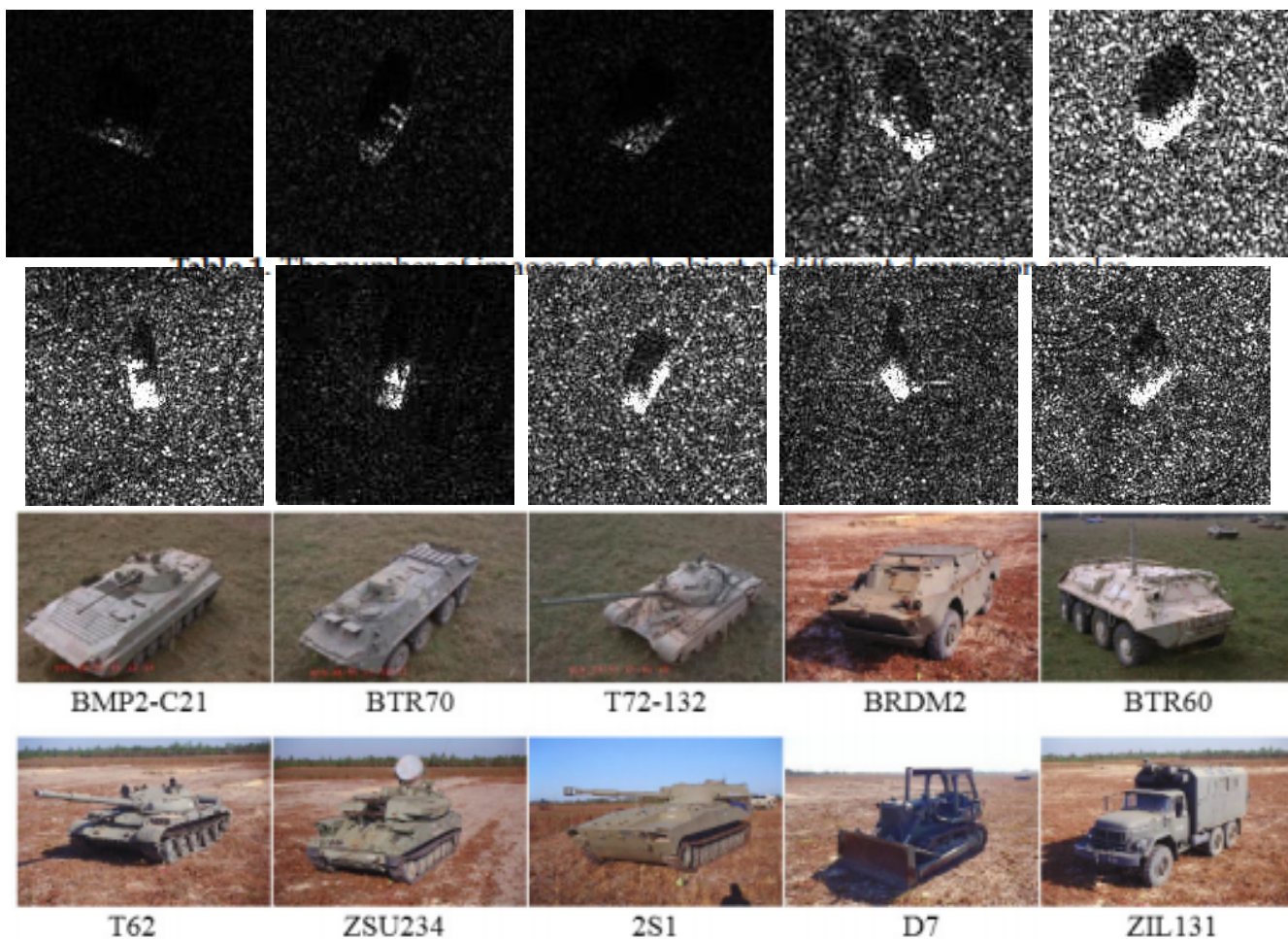
- Problem knowledge captured by data
- Train neural network from data
- Black box fusion processing

# Deep Learning (Deep Neural Network)



- Deep neural network uses multiple hidden layers between input and output layers to model complex nonlinear relationships
- Input layers can be images or audio signals instead of features

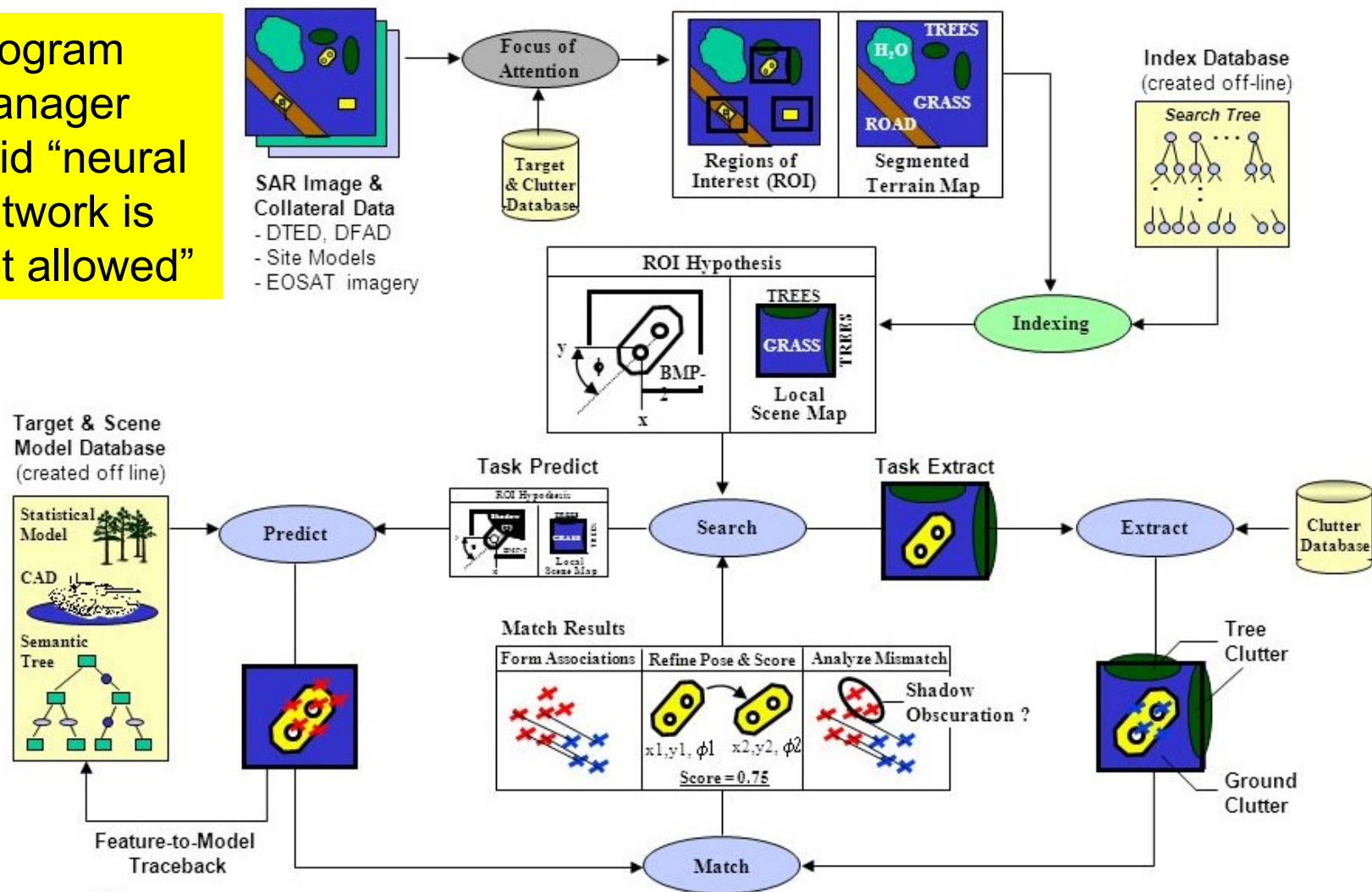
# MSTAR Target Types and SAR Images



U. K. Majumder, "Deep Learning in AI and Information Fusion" *SPIE Panel Discussion*, 2018, Orlando, FL

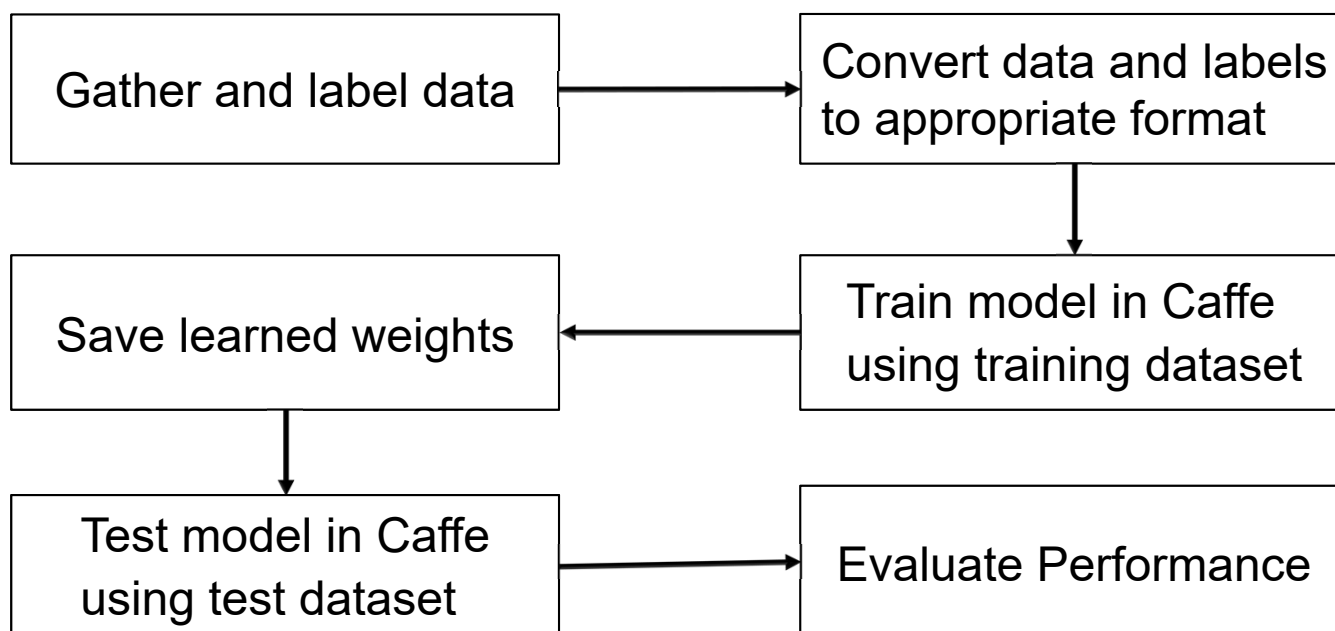
# Moving and Stationary Target Acquisition and Recognition (MSTAR) – Model-Based Approach

- Program manager said “neural network is not allowed”



# Deep Learning for MSTAR Using Caffe

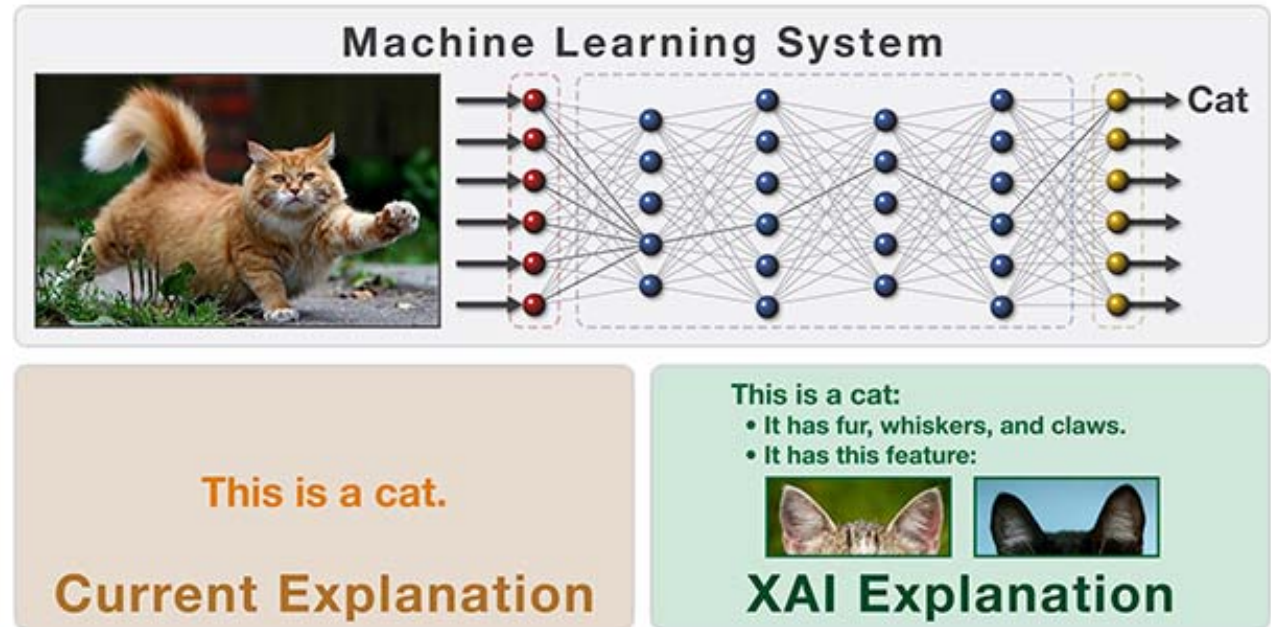
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- Deep learning MSTAR is much easier to develop than model-based MSTAR
- Performance is also very good (99% accuracy) for test data
- However, it is difficult to modify the network for other operating conditions with camouflage and deception

# Challenges of Using Deep Learning for Sensor Data Fusion

- Performance is only as good as data
  - Large amounts of data are needed
  - Training data for rare events are sparse
  - Easily fooled by opposition data



DARPA Explainable AI (XAI) Problem

- Lack rigorous representation and propagation of uncertainty
- Neural networks do not provide explanation for results
- Thus deep learning is popular in commercial application but seldom used in mission-critical systems

# Why Explainable Algorithms are Important

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- Assumptions provide operating conditions for algorithm
- Reasoning/processing approach allows generalization to other operating conditions
- Explainable algorithm model supports prediction of performance, e.g., error bounds from Kalman filter equations
- Fusion by other nodes requires models, e.g., 2D video tracks with other 2D video tracks
- Critical decisions have to be explained, e.g., right to explanation in EU General Data Protection Regulation (GDPR)
- Good engineering requires design review, not possible without explainable components



# Robust Sensor Data Fusion

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- “Robust” is hard to define precisely, but is opposite to “brittle”
- A trusted system has to be robust
- Properties of robust sensor data fusion
  - Well-defined operating conditions
  - Predictable performance
  - Insensitivity to small changes
  - Graceful performance degradation within operating condition
  - Adaptability to context
- Robust sensor data fusion requires components that are either
  - Explainable with models
  - Tested and statistically characterized with large amounts of data

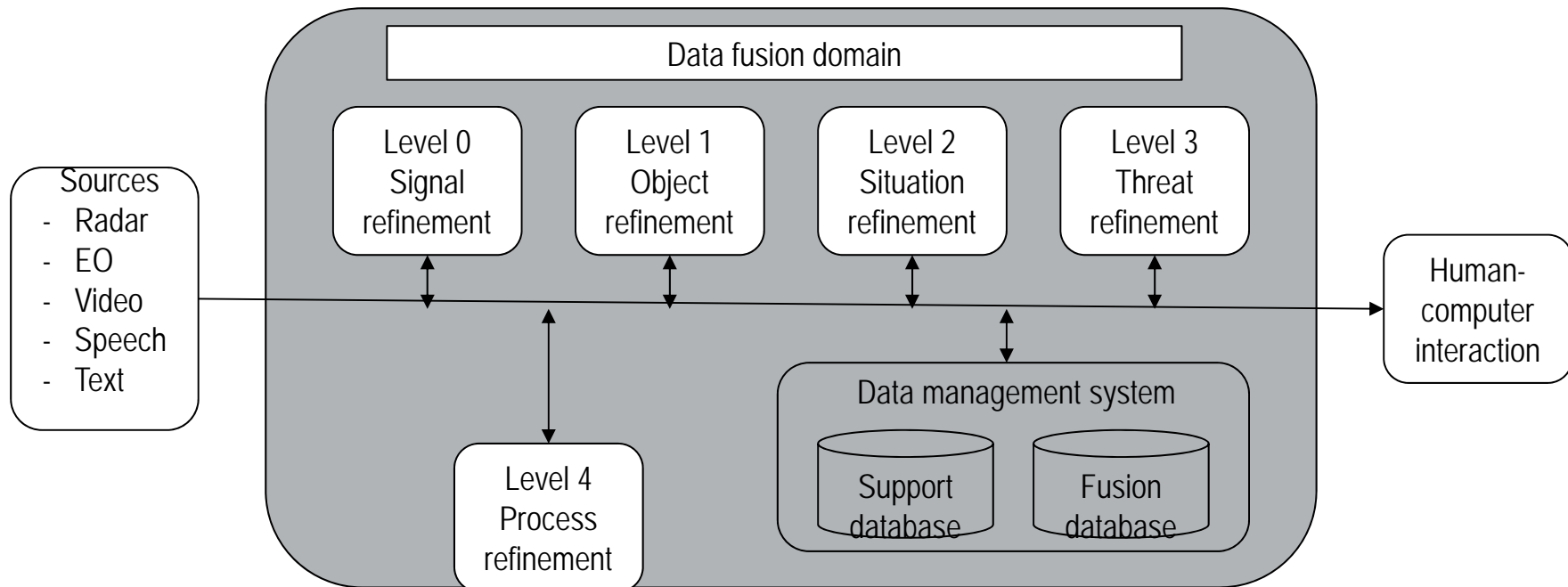
# Proposed Approach for Integrating Deep Learning and Model-Based Reasoning

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- Map processing approach to required ability
  - Deep learning for low-level functions such as perception (animal-like abilities\*)
  - Model-based reasoning for high level situation assessment (human-level intelligence\*)
- Design rule
  - Model rich, data rich – model-based reasoning
  - Model rich, data poor – model-based reasoning
  - Model poor, data rich – deep learning
  - Model poor, data poor – get better sensors

\*A. Darwiche, “Human-Level Intelligence or Animal-Like Abilities?” *CACM*, Oct. 2018

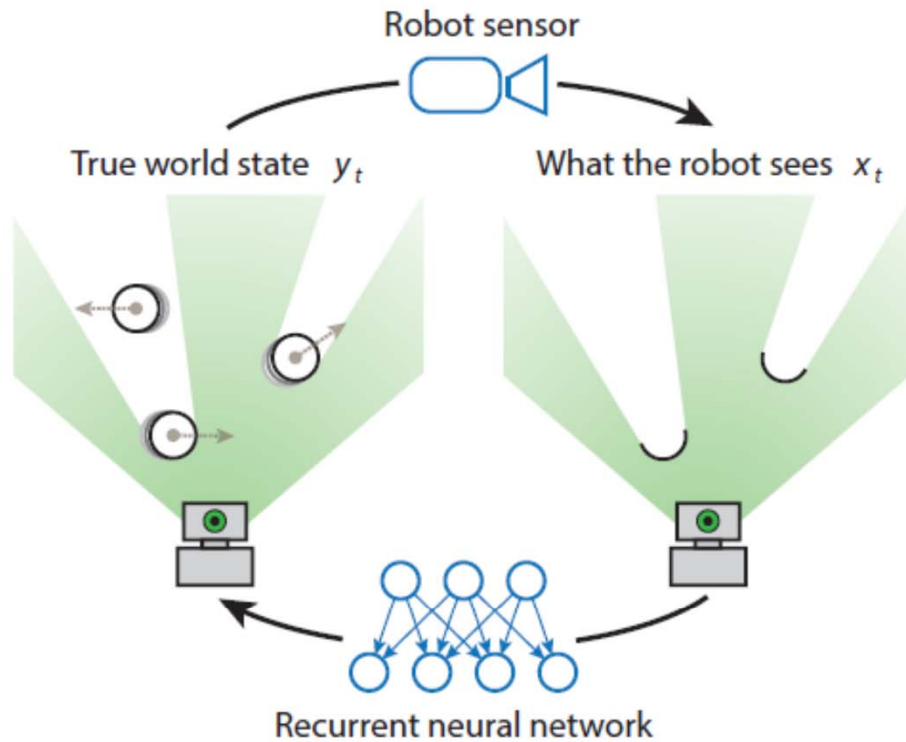
# Allocating JDL/DFG\* Fusion Levels to Learning and Models



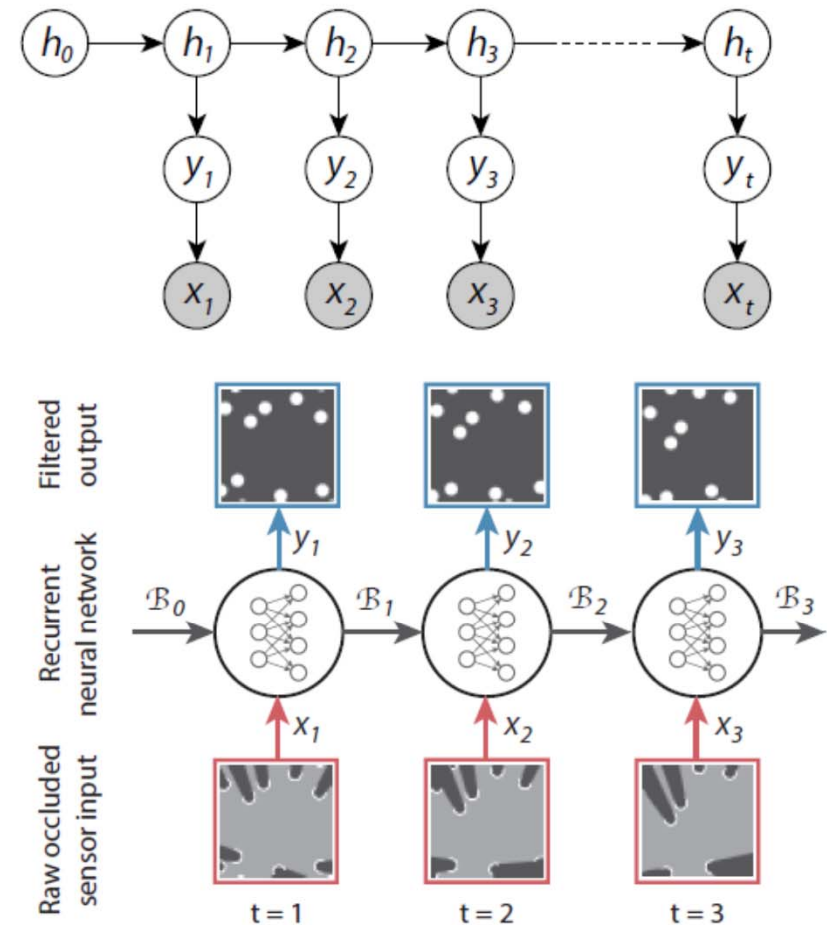
- Level 0: DL is suitable for speech recognition, feature extraction
- Level 1: DL can recognize objects/person and skip level 0; tracking can use both DL and model-based reasoning
- Level 2 and above: model-based reasoning (users want explanations)

\*JDL/DFG – Joint Director of Laboratories/Data Fusion Group

# Direct Learning of Sensor Input to Object Tracks

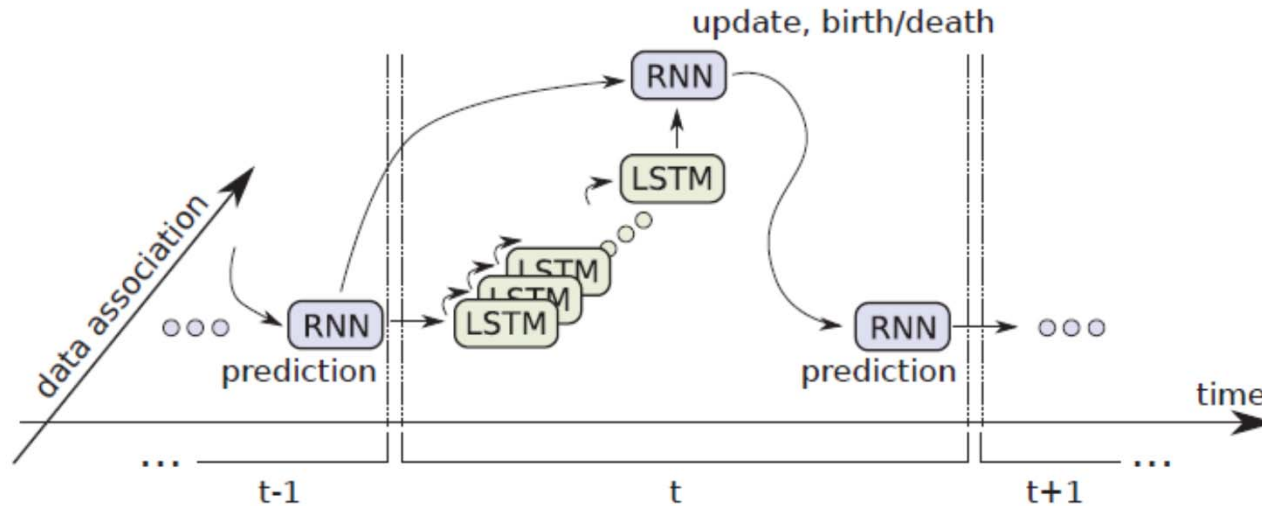


- Related to PHD
- Training and performance issues



P. Ondruska and I. Posner, "Deep tracking: Seeing beyond seeing using recurrent neural networks," *AAAI Conference*, 2016

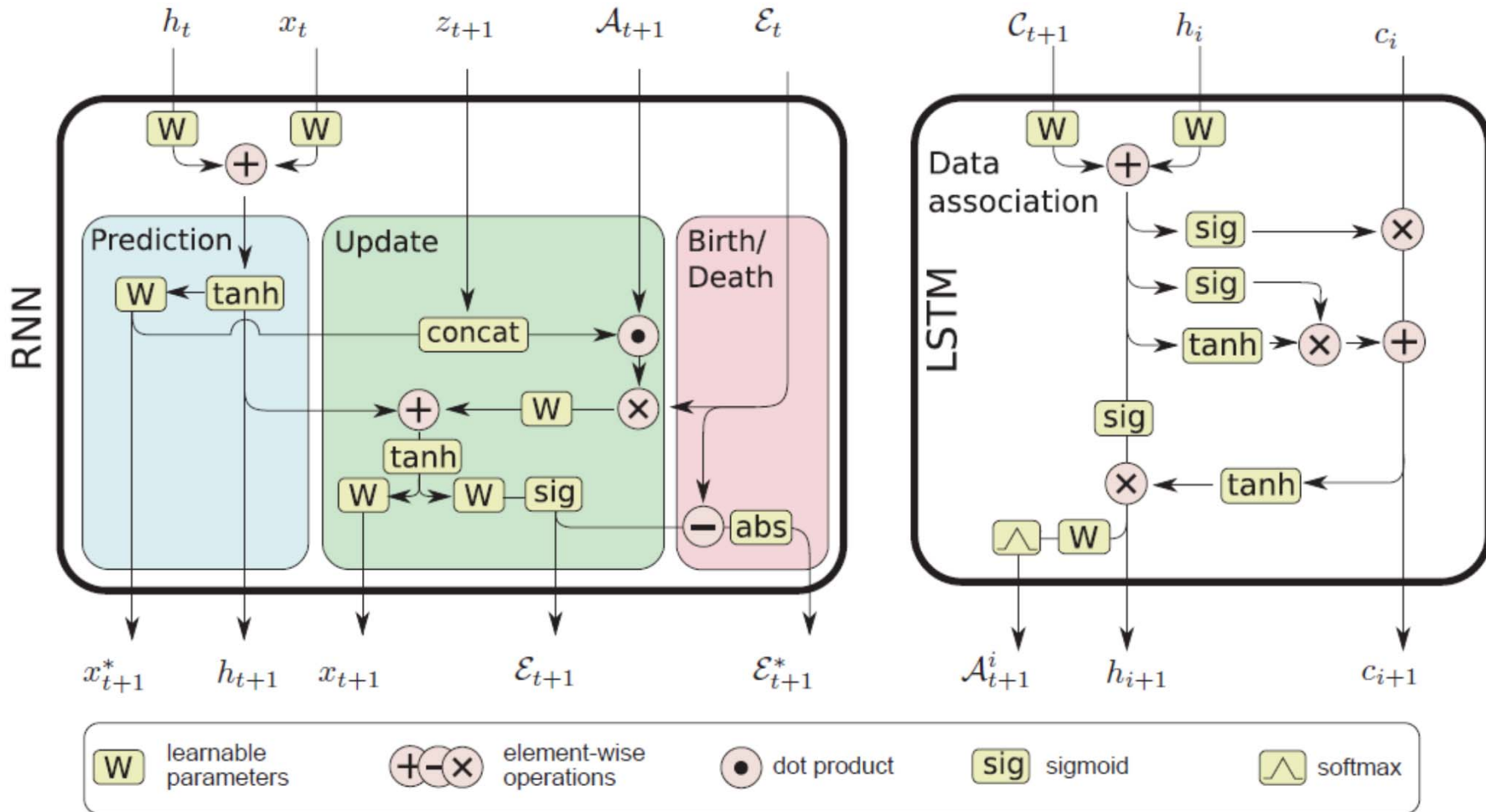
# Multiple RNNs for Online Multiple Object Tracking



- RNN for prediction and update, target birth and death
  - Completely model free
  - Handles arbitrary dynamics, distributions
- LSTM for data association
  - Handles combinatorial problem
  - Controls memory in RNN

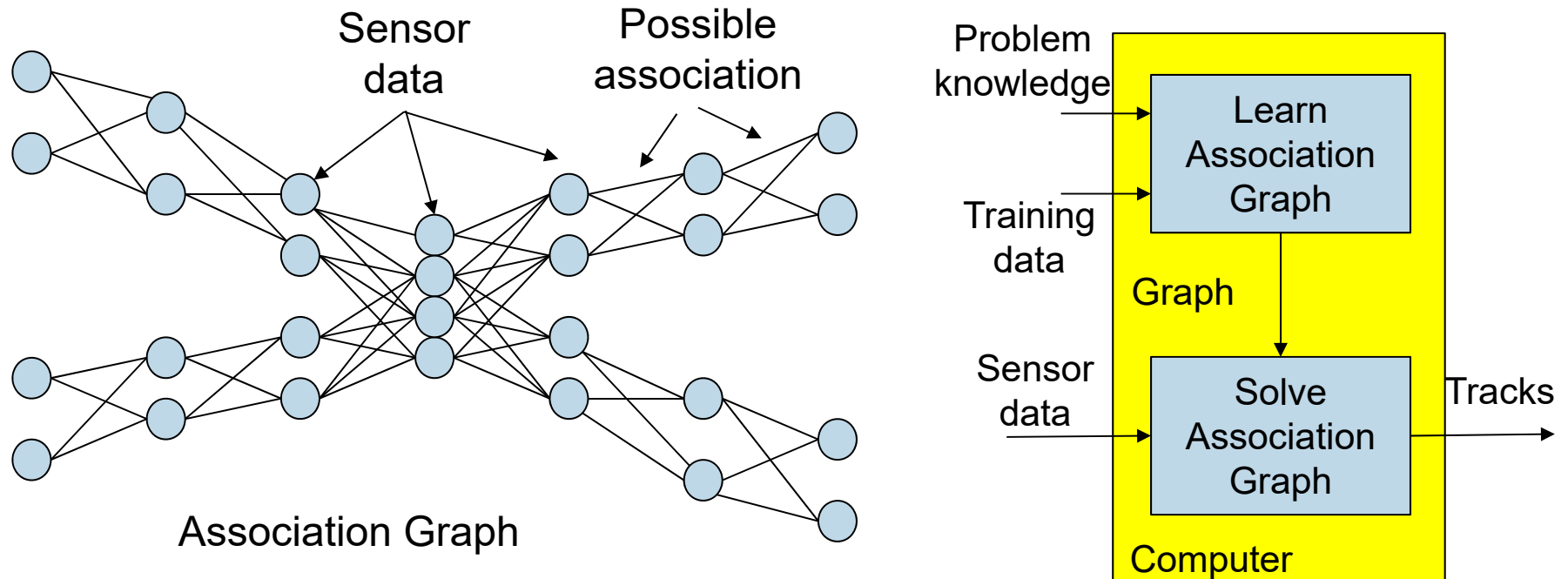
A. Milan et al, "Online multi-target tracking using recurrent neural networks," *AAAI Conf. 2017*

# RNN and LSTM for Multiple Object Tracking



- Model-free approach is hard to explain and adapt to different situations

# Feature-Aided Tracking using Deep Learning and Models



- Possible associations are found by deep learning
- Association costs are computed using models (object dynamics)
- Target tracks are computed by solving optimization problem on association graph

C. Y. Chong, "Graph approaches for data association," *Fusion 2012*.

# Conclusions

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- Deep learning performs well in some essential fusion functions (e.g., speech recognition, image recognition) but not suitable for other functions
- Model-based reasoning is needed for high-level fusion but has limited success with unstructured high-dimensional data, e.g., image, speech
- Robust fusion systems should use
  - Models when they are available and can be represented for reasoning
  - Deep learning when models are weak and data are available
- Successful use of deep learning in sensor data fusion systems requires
  - Understanding capabilities and limits of function-based approaches
  - Characterizing deep learning algorithms (assumptions, operating conditions, and performance) for use by other fusion functions